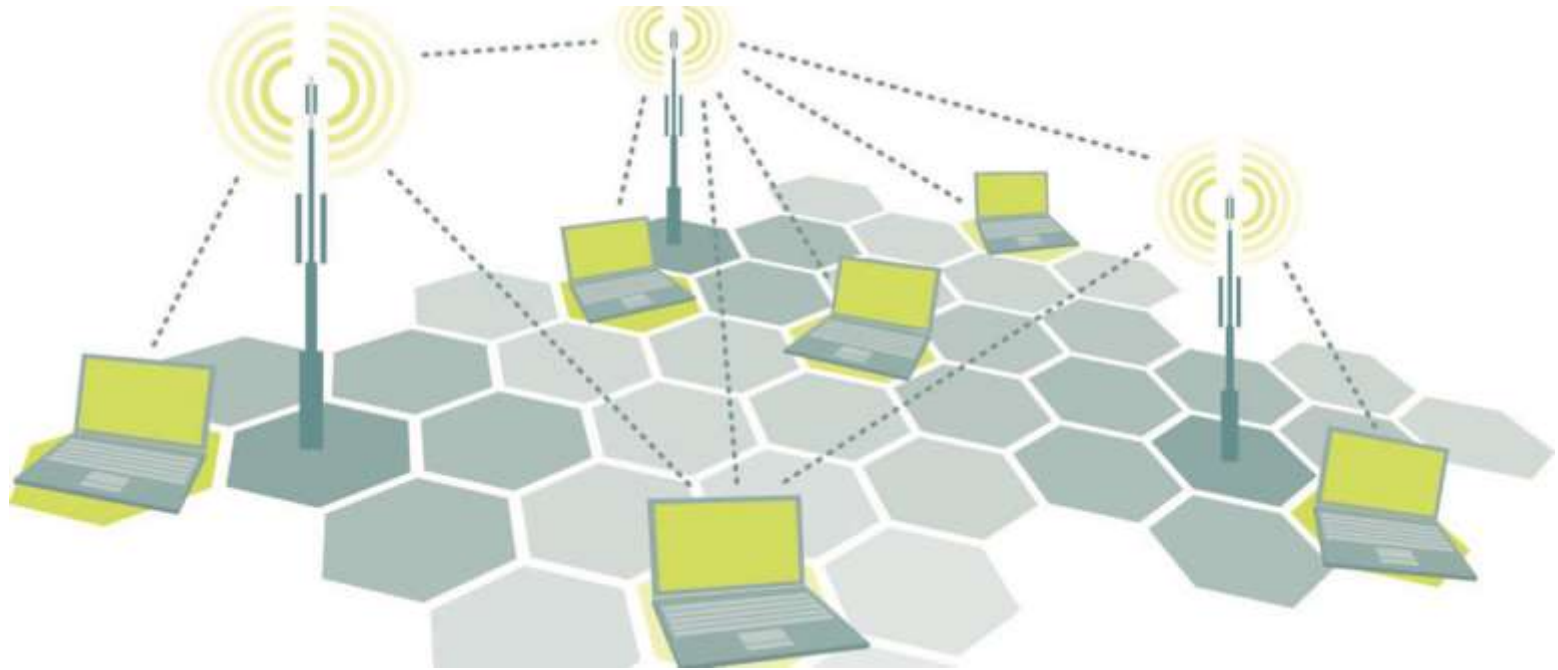


## Lecture 5

# Communication Theory



## Fundamentals of Signals and Systems

# Introduction

## Example 1.7 Discrete-Time Sinusoidal Signal

A pair of sinusoidal signals with a common angular frequency is defined by

$$x_1[n] = \sin[5\pi n] \quad \text{and} \quad x_2[n] = \sqrt{3} \cos[5\pi n]$$

- (a) Both  $x_1[n]$  and  $x_2[n]$  are periodic. Find their common fundamental period.  
(b) Express the composite sinusoidal signal

$$y[n] = x_1[n] + x_2[n]$$

In the form  $y[n] = A \cos(\Omega n + \phi)$ , and evaluate the amplitude  $A$  and phase  $\phi$ .

<Sol.>

- (a) Angular frequency of both  $x_1[n]$  and  $x_2[n]$ :

$$\Omega = 5\pi \text{ radians/cycle} \quad \Rightarrow \quad N = \frac{2\pi m}{\Omega} = \frac{2\pi m}{5\pi} = \frac{2m}{5}$$

Fundamental  
frequency of  
 $x[n]$ :

$$\Omega = \frac{2\pi}{N}$$

$\Rightarrow$  This can be only for  $m = 5, 10, 15, \dots$ , which results in  $N = 2, 4, 6, \dots$

- (b) Trigonometric identity:

$$A \cos(\Omega n + \phi) = A \cos(\Omega n) \cos(\phi) - A \sin(\Omega n) \sin(\phi)$$

Let  $\Omega = 5\pi$ , then compare  $x_1[n] + x_2[n]$  with the above equation to obtain that

# Introduction

$$A \sin(\phi) = -1 \quad \text{and} \quad A \cos(\phi) = \sqrt{3}$$

$$\Rightarrow \tan(\phi) = \frac{\sin(\phi)}{\cos(\phi)} = \frac{\text{amplitude of } x_1[n]}{\text{amplitude of } x_2[n]} = \frac{-1}{\sqrt{3}} \quad \Rightarrow \phi = -\pi/6$$

$$\Rightarrow A \sin(\phi) = -1$$

$$\Rightarrow A = \frac{-1}{\sin(-\pi/6)} = 2$$

Accordingly, we may express  $y[n]$  as

$$y[n] = 2 \cos\left(5\pi n - \frac{\pi}{6}\right)$$

## ★ 1.6.3 Relation Between Sinusoidal and Complex Exponential Signals

1. Euler's identity:  $e^{j\theta} = \cos \theta + j \sin \theta$  (1.41)

Complex exponential signal:  $B = Ae^{j\phi}$  (1.42)

$$x(t) = A \cos(\omega t + \phi) \quad (1.35)$$

$$\Rightarrow A \cos(\omega t + \phi) = \text{Re}\{Be^{j\omega t}\} \quad (1.42)$$

$$Be^{j\omega t}$$

$$= Ae^{j\phi} e^{j\omega t}$$

$$= Ae^{j(\phi + \omega t)}$$

$$= A \cos(\omega t + \phi) + jA \sin(\omega t + \phi)$$

# Introduction

◇ Continuous-time signal in terms of sine function:

$$x(t) = A \sin(\omega t + \phi) \quad (1.44)$$

$$\Rightarrow A \sin(\omega t + \phi) = \text{Im}\{B e^{j\omega t}\} \quad (1.45)$$

2. Discrete-time case:

$$A \cos(\Omega n + \phi) = \text{Re}\{B e^{j\Omega n}\} \quad (1.46) \quad \text{and} \quad A \sin(\Omega n + \phi) = \text{Im}\{B e^{j\Omega n}\} \quad (1.47)$$

3. Two-dimensional representation of the complex exponential  $e^{j\Omega n}$  for  $\Omega = \pi/4$  and  $n = 0, 1, 2, \dots, 7$ .

: Fig. 1.34.

Projection on real axis:  $\cos(\Omega n)$ ;

Projection on imaginary axis:  $\sin(\Omega n)$

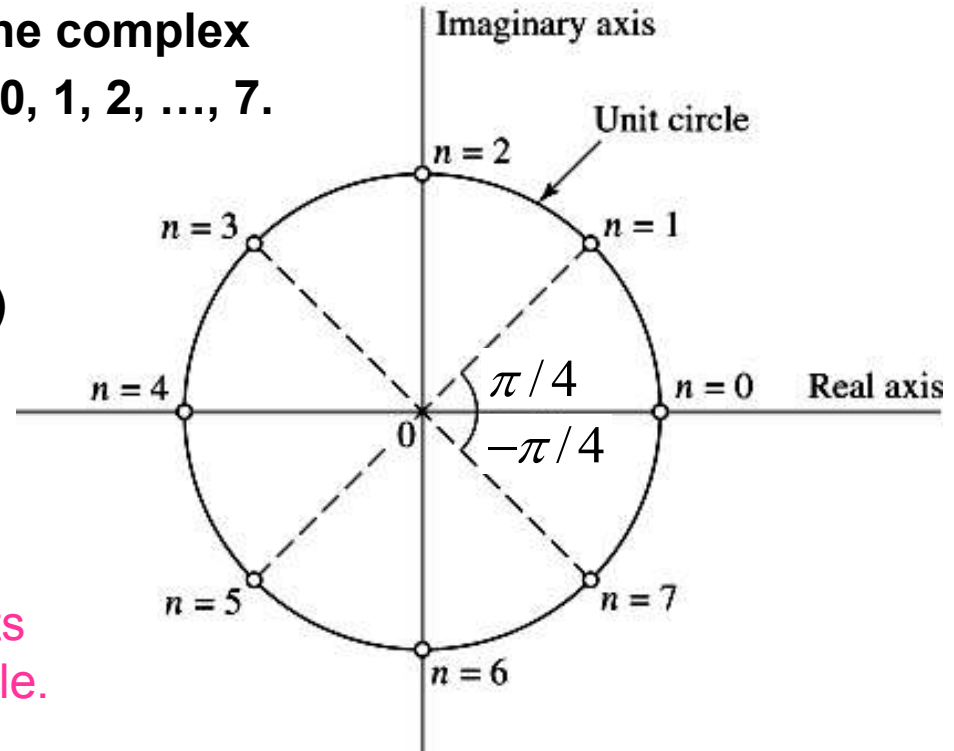


Figure 1.34 (p. 41)

Complex plane, showing eight points uniformly distributed on the unit circle.

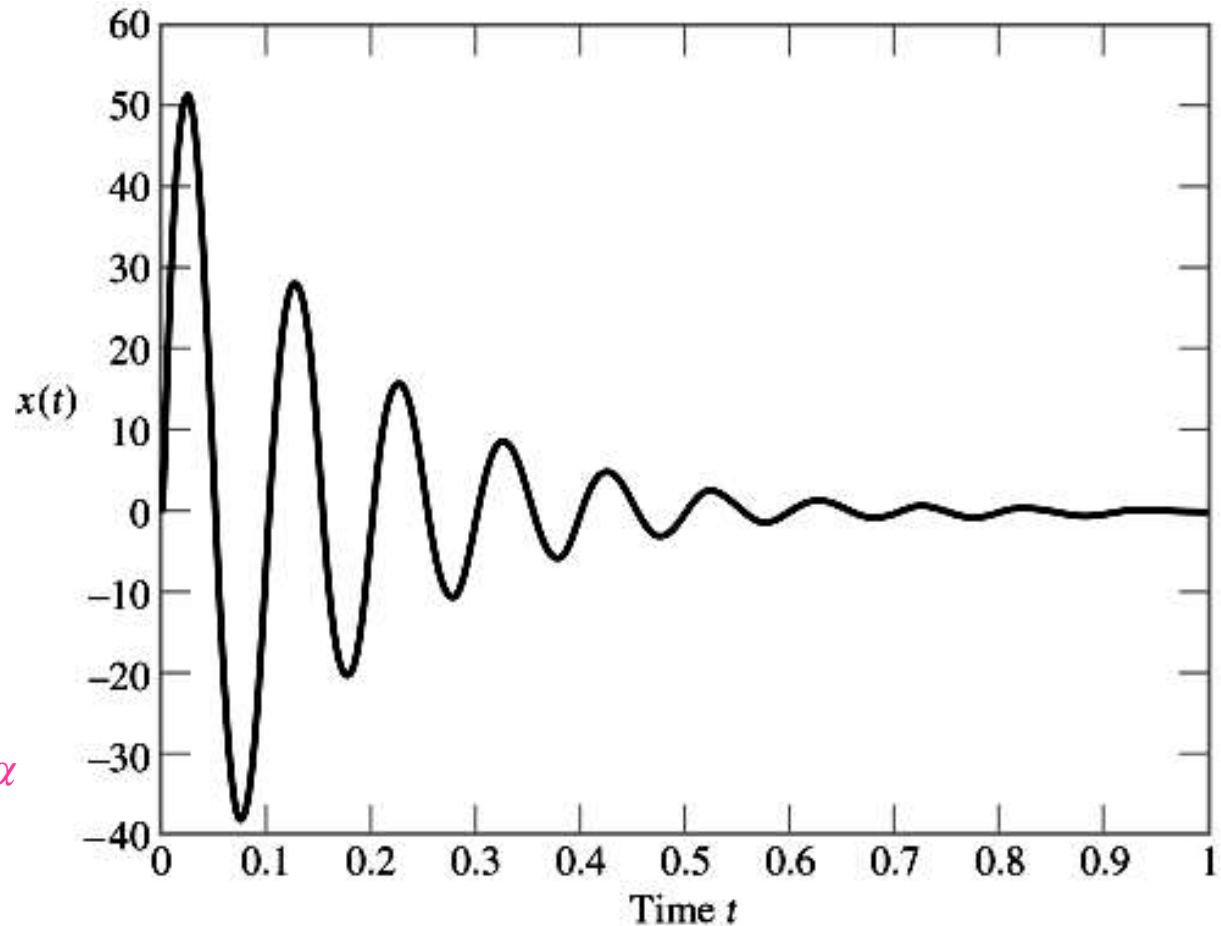
# Introduction

## ★ 1.6.4 Exponential Damped Sinusoidal Signals

$$x(t) = Ae^{-\alpha t} \sin(\omega t + \phi), \quad \alpha > 0 \quad (1.48)$$

**Example for  $A = 60$ ,  
 $\alpha = 6$ , and  $\phi = 0$ : Fig.1.35.**

**Figure 1.35 (p. 41)**  
Exponentially damped  
sinusoidal signal  $Ae^{-\alpha t}$   
 $\sin(\omega t)$ , with  $A = 60$  and  $\alpha$   
 $= 6$ .



# Introduction

**Ex. Generation of an exponential damped sinusoidal signal**

⇒ **Fig. 1-36.**

**Circuit Eq.:**  $C \frac{d}{dt} v(t) + \frac{1}{R} v(t) + \frac{1}{L} \int_{-\infty}^t v(\tau) d\tau = 0$  (1.49)

⇒  $v(t) = V_0 e^{-t/(2CR)} \cos(\omega_0 t) \quad t \geq 0$  (1.50)

where  $\omega_0 = \sqrt{\frac{1}{LC} - \frac{1}{4C^2R^2}}$  (1.51)  $R > \sqrt{L/(4C)}$

Comparing Eq. (1.50) and (1.48), we have

$A = V_0, \quad \alpha = 1/(2CR), \quad \omega = \omega_0, \quad \text{and} \quad \phi = \pi/2$

◆ **Discrete-time case:**

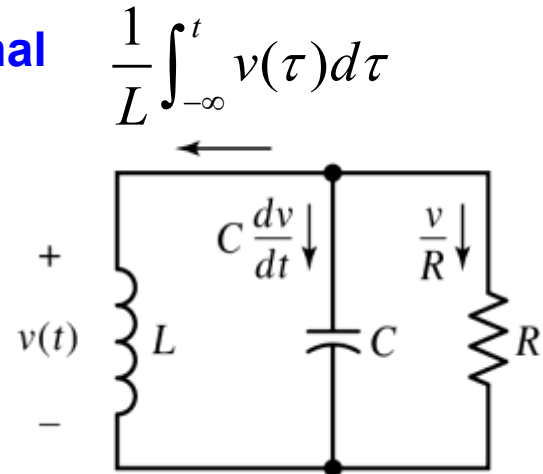
$x[n] = Br^n \sin[\Omega n + \phi]$  (1.52)

★ **1.6.5 Step Function**

◆ **Discrete-time case:**

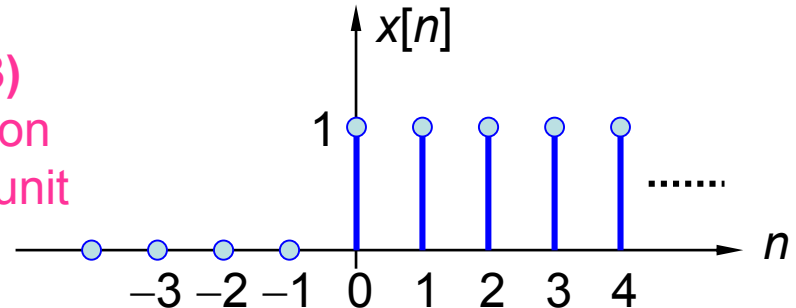
$u[n] = \begin{cases} 1, & n \geq 0 \\ 0, & n < 0 \end{cases}$  (1.53)

⇒ **Fig. 1-37.**



**Figure 1.36 (p. 42)**  
Parallel LRC, circuit, with inductor  $L$ , capacitor  $C$ , and resistor  $R$  all assumed to be ideal.

**Figure 1.37 (p. 43)**  
Discrete-time version of step function of unit amplitude.



# Introduction

## ◆ Continuous-time case:

$$u(t) = \begin{cases} 1, & t > 0 \\ 0, & t < 0 \end{cases} \quad (1.54)$$

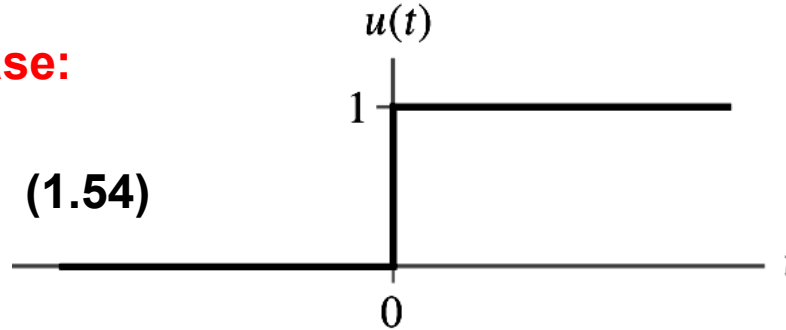


Figure 1.38 (p. 44)  
Continuous-time  
version of the unit-step  
function of unit  
amplitude.

## Example 1.8 Rectangular Pulse

Consider the rectangular pulse  $x(t)$  shown in Fig. 1.39 (a). This pulse has an amplitude  $A$  and duration of 1 second. Express  $x(t)$  as a weighted sum of two step functions.

<Sol.>

1. Rectangular pulse  $x(t)$ :

$$x(t) = \begin{cases} A, & 0 \leq |t| < 0.5 \\ 0, & |t| > 0.5 \end{cases} \quad (1.55)$$

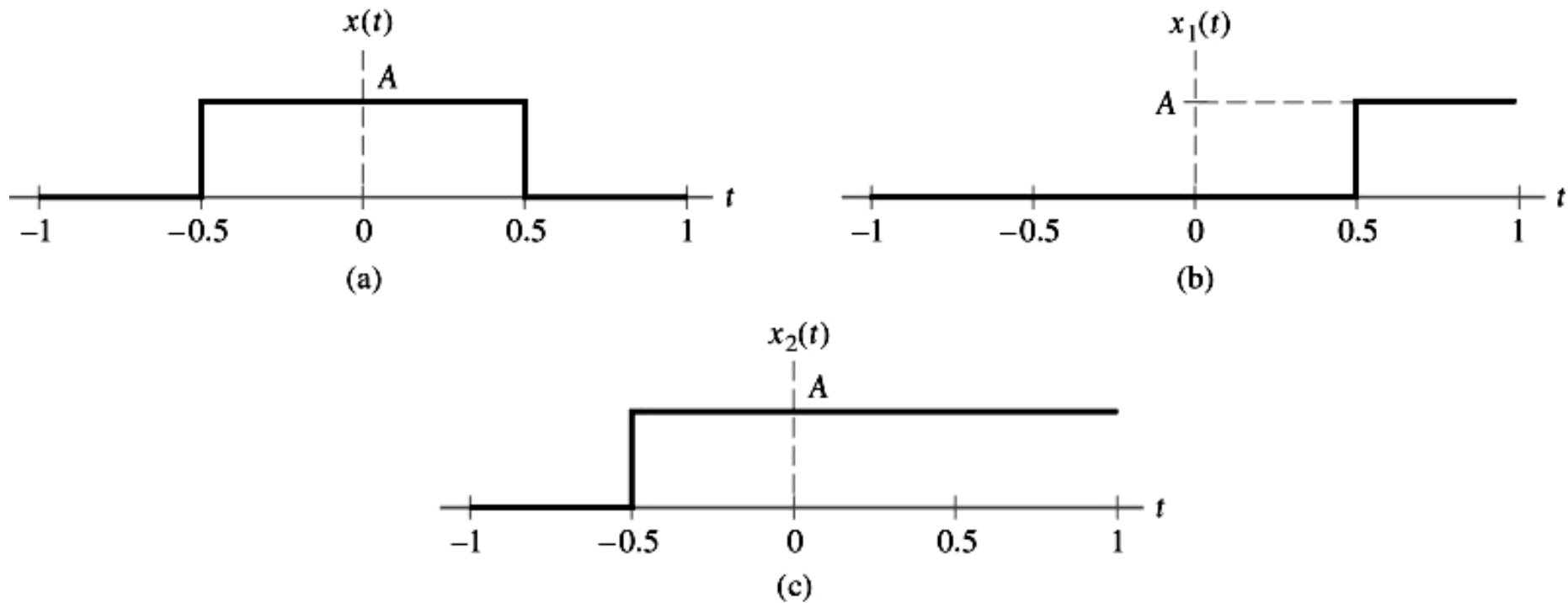
$$\Rightarrow x(t) = Au\left(t + \frac{1}{2}\right) - Au\left(t - \frac{1}{2}\right) \quad (1.56)$$

## Example 1.9 RC Circuit

Find the response  $v(t)$  of RC circuit shown in Fig. 1.40 (a).

<Sol.>

# Introduction



**Figure 1.39 (p. 44)**

(a) Rectangular pulse  $x(t)$  of amplitude  $A$  and duration of 1 s, symmetric about the origin. (b) Representation of  $x(t)$  as the difference of two step functions of amplitude  $A$ , with one step function shifted to the left by  $\frac{1}{2}$  and the other shifted to the right by  $\frac{1}{2}$ ; the two shifted signals are denoted by  $x_1(t)$  and  $x_2(t)$ , respectively. Note that  $x(t) = x_1(t) - x_2(t)$ .



# Introduction

## ★ 1.6.6 Impulse Function

### ◆ Discrete-time case:

$$\delta[n] = \begin{cases} 1, & n = 0 \\ 0, & n \neq 0 \end{cases} \quad (1.58)$$

Fig 1.41

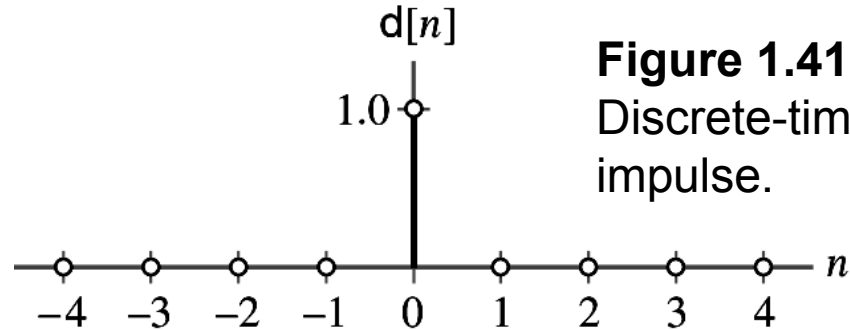


Figure 1.41 (p. 46)  
Discrete-time form of impulse.

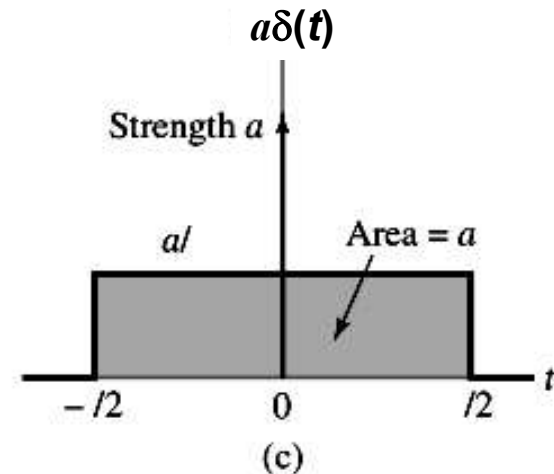
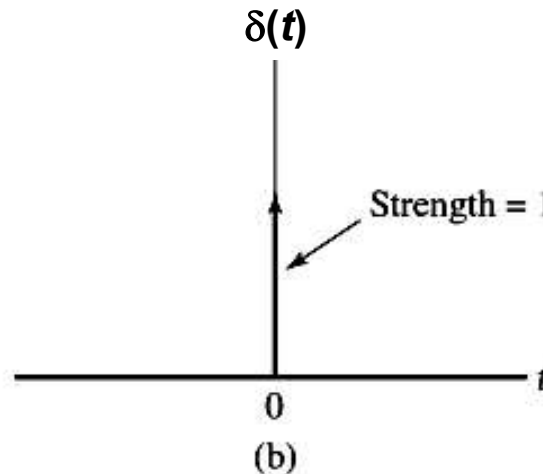
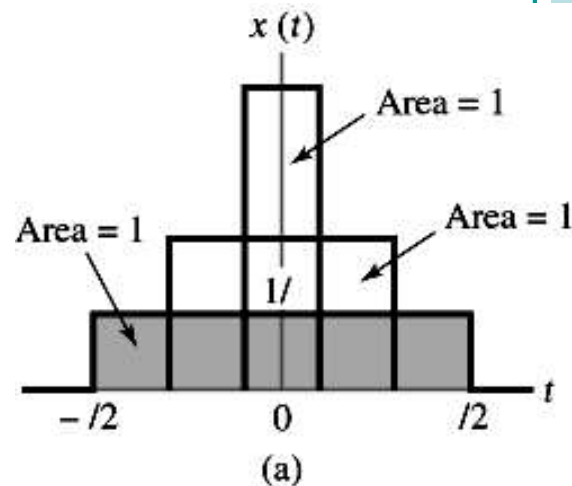


Figure 1.42 (p. 46)

- (a) Evolution of a rectangular pulse of unit area into an impulse of unit strength (i.e., unit impulse). (b) Graphical symbol for unit impulse. (c) Representation of an impulse of strength  $a$  that results from allowing the duration  $\Delta$  of a rectangular pulse of area  $a$  to approach zero.

# Introduction

## ◆ Continuous-time case:

### Dirac delta function

$$\delta(t) = 0 \quad \text{for } t \neq 0 \quad (1.59)$$

$$\int_{-\infty}^{\infty} \delta(t) dt = 1 \quad (1.60)$$

1. As the duration decreases, the rectangular pulse approximates the impulse more closely.

⇒ Fig. 1.42.

2. Mathematical relation between impulse and rectangular pulse function:

$$\delta(t) = \lim_{\Delta \rightarrow 0} x_{\Delta}(t) \quad (1.61)$$

1.  $x_{\Delta}(t)$ : even function of  $t$ ,  $\Delta$  = duration.
2.  $x_{\Delta}(t)$ : Unit area.

⇒ Fig. 1.42 (a).

3.  $\delta(t)$  is the derivative of  $u(t)$ :

$$\delta(t) = \frac{d}{dt} u(t) \quad (1.62)$$

4.  $u(t)$  is the integral of  $\delta(t)$ :

$$u(t) = \int_{-\infty}^t \delta(\tau) d\tau \quad (1.63)$$

### Example 1.10 RC Circuit (Continued)

For the RC circuit shown in Fig. 1.43 (a), determine the current  $i(t)$  that flows through the capacitor for  $t \geq 0$ .

# Introduction

## ◆ Properties of impulse function:

1. Even function:  $\delta(-t) = \delta(t)$  (1.64)

2. Sifting property:

$$\int_{-\infty}^{\infty} x(t)\delta(t-t_0)dt = x(t_0) \quad (1.65)$$

3. Time-scaling property:

$$\delta(at) = \frac{1}{a}\delta(t), \quad a > 0 \quad (1.66)$$

<p.f.> Fig. 1.44

1. Rectangular pulse approximation:

$$\delta(at) = \lim_{\Delta \rightarrow 0} x_{\Delta}(at) \quad (1.67)$$

2. Unit area pulse: Fig. 1.44(a).

Time scaling: Fig. 1.44(b).

Area =  $1/a$

Restoring unit area  $\Rightarrow ax_{\Delta}(at)$

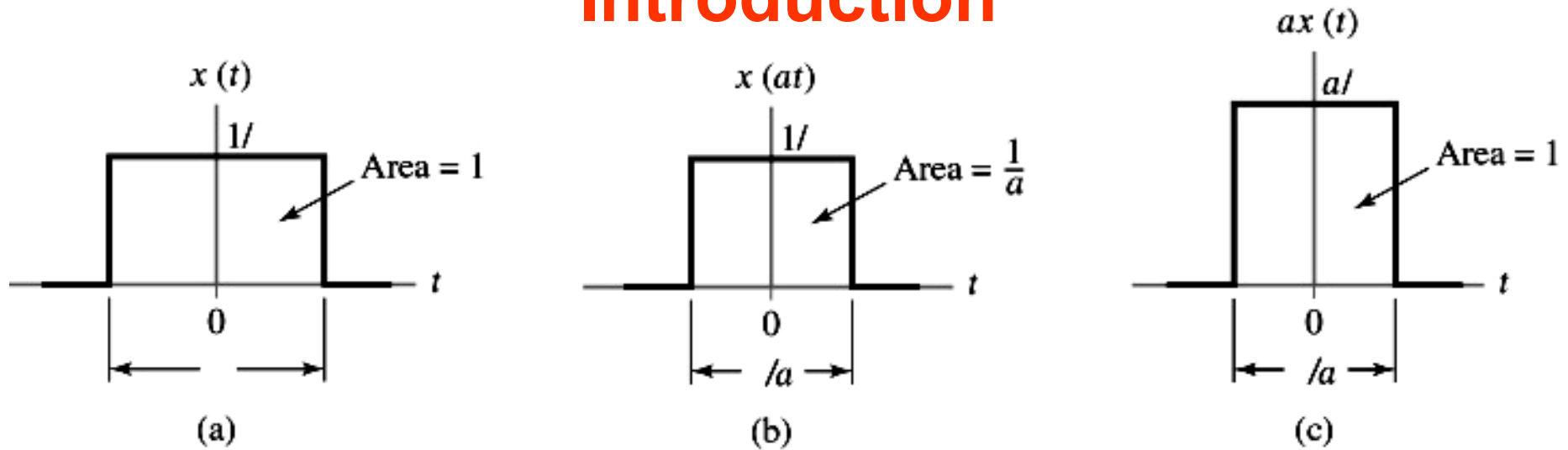
$$\Rightarrow \lim_{\Delta \rightarrow 0} x_{\Delta}(at) = \frac{1}{a}\delta(t) \quad (1.68)$$

Ex. RLC circuit driven by impulsive source: Fig. 1.45.

For Fig. 1.45 (a), the voltage across the capacitor at time  $t = 0^+$  is

$$V_0 = \frac{1}{C} \int_{0^-}^{0^+} I_0 \delta(t) dt = \frac{I_0}{C} \quad (1.69)$$

# Introduction

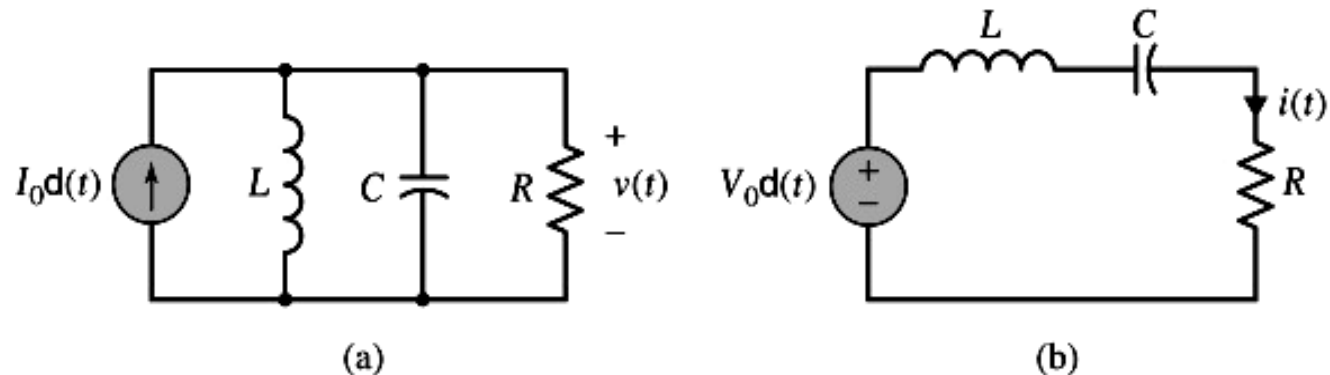


**Figure 1.44 (p. 48)**

Steps involved in proving the time-scaling property of the unit impulse. (a) Rectangular pulse  $x\Delta(t)$  of amplitude  $1/\Delta$  and duration  $\Delta$ , symmetric about the origin. (b) Pulse  $x\Delta(t)$  compressed by factor  $a$ . (c) Amplitude scaling of the compressed pulse, restoring it to unit area.

**Figure 1.45 (p. 49)**

(a) Parallel  $LRC$  circuit driven by an impulsive current signal. (b) Series  $LRC$  circuit driven by an impulsive voltage signal.



# Introduction

## ★ 1.6.7 Derivatives of The Impulse

### 1. Doublet:

$$\delta^{(1)}(t) = \lim_{\Delta \rightarrow 0} \frac{1}{\Delta} (\delta(t + \Delta/2) - \delta(t - \Delta/2)) \quad (1.70)$$

### 2. Fundamental property of the doublet:

$$\int_{-\infty}^{\infty} \delta^{(1)}(t) dt = 0 \quad (1.71)$$

$$\int_{-\infty}^{\infty} f(t) \delta^{(1)}(t - t_0) dt = \frac{d}{dt} f(t) \Big|_{t=t_0} \quad (1.72)$$

### 3. Second derivative of impulse:

$$\frac{\partial^2}{\partial t^2} \delta(t) = \frac{d}{dt} \delta^{(1)}(t) = \lim_{\Delta \rightarrow 0} \frac{\delta^{(1)}(t + \Delta/2) - \delta^{(1)}(t - \Delta/2)}{\Delta} \quad (1.73)$$

## ★ 1.6.8 Ramp Function

### 1. Continuous-time case:

$$r(t) = \begin{cases} t, & t \geq 0 \\ 0, & t < 0 \end{cases} \quad (1.74)$$

or

$$r(t) = tu(t) \quad (1.75)$$



Fig. 1.46

### Problem 1.24

$$\int_{-\infty}^{\infty} f(t) \delta^{(2)}(t - t_0) dt = \frac{d^2}{dt^2} f(t) \Big|_{t=t_0}$$

$$\int_{-\infty}^{\infty} f(t) \delta^{(n)}(t - t_0) dt = \frac{d^n}{dt^n} f(t) \Big|_{t=t_0}$$

# Introduction

## 2. Discrete-time case:

$$r[n] = \begin{cases} n, & n \geq 0 \\ 0, & n < 0 \end{cases} \quad (1.76)$$

or

$$r[n] = nu[n] \quad (1.77)$$



Fig. 1.47.

### Example 1.11 Parallel Circuit

Consider the parallel circuit of Fig. 1-48 (a) involving a dc current source  $I_0$  and an initially uncharged capacitor  $C$ .

The switch across the capacitor is suddenly opened at time  $t = 0$ . Determine the current  $i(t)$  flowing through the capacitor and the voltage  $v(t)$  across it for  $t \geq 0$ .

<Sol.>

1. Capacitor current:

$$i(t) = I_0 u(t)$$

Figure 1.46 (p. 51)  
Ramp function of unit slope.

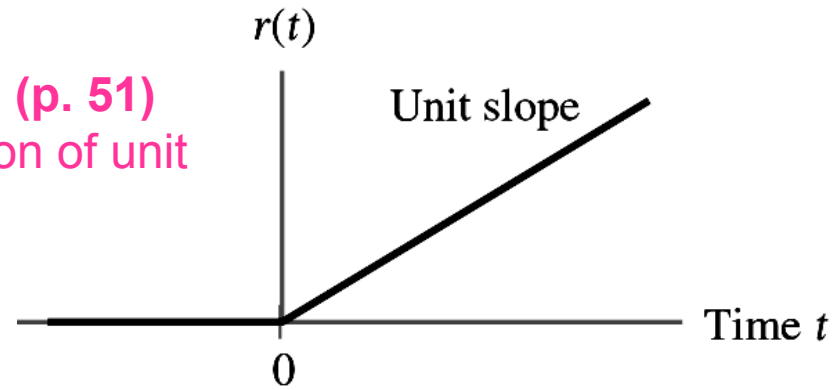
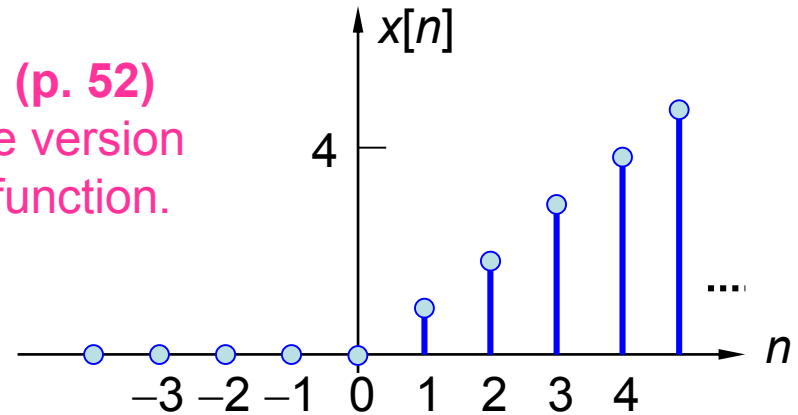


Figure 1.47 (p. 52)  
Discrete-time version of the ramp function.



# Introduction

## 2. Capacitor voltage:

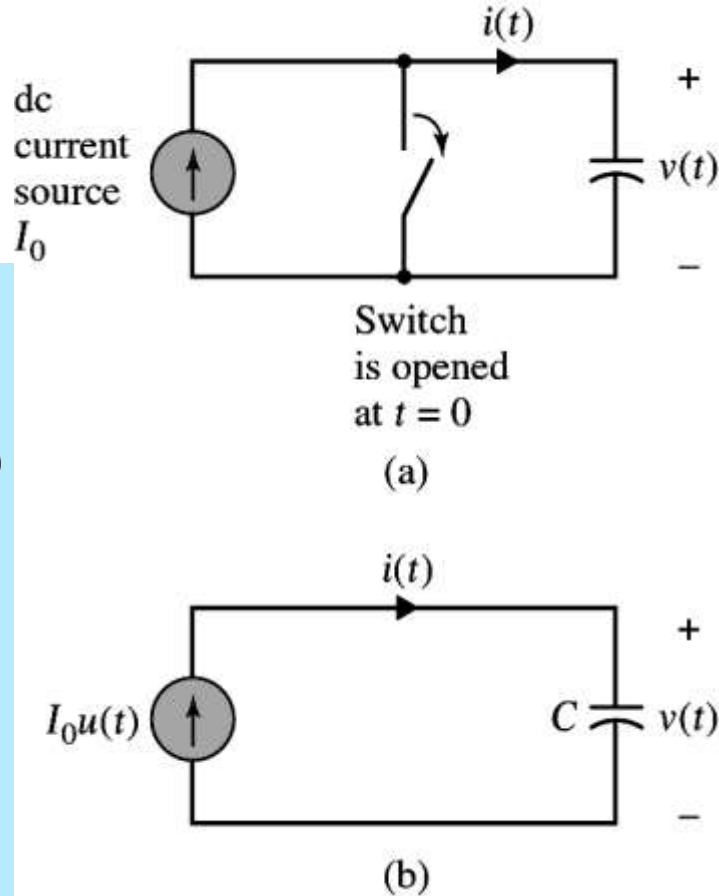
$$v(t) = \frac{1}{C} \int_{-\infty}^t i(\tau) d\tau$$

$$v(t) = \frac{1}{C} \int_{-\infty}^t I_0 u(\tau) d\tau$$

$$= \begin{cases} 0 & \text{for } t < 0 \\ \frac{I_0}{C} t & \text{for } t > 0 \end{cases}$$

$$= \frac{I_0}{C} t u(t)$$

$$= \frac{I_0}{C} r(t)$$



**Figure 1.48 (p. 52)**  
 (a) Parallel circuit consisting of a current source, switch, and capacitor, the capacitor is initially assumed to be uncharged, and the switch is opened at time  $t = 0$ . (b) Equivalent circuit replacing the action of opening the switch with the step function  $u(t)$ .

# Introduction

## 1.7 Systems Viewed as Interconnections of Operations

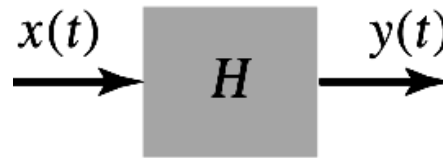
A system may be viewed as an *interconnection of operations* that transforms an input signal into an output signal with properties different from those of the input signal.

1. Continuous-time case:

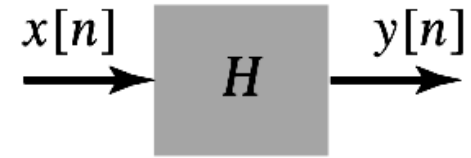
$$y(t) = H\{x(t)\} \quad (1.78)$$

2. Discrete-time case:

$$y[n] = H\{x[n]\} \quad (1.79)$$



(a)



(b)

Figure 1.49 (p. 53)

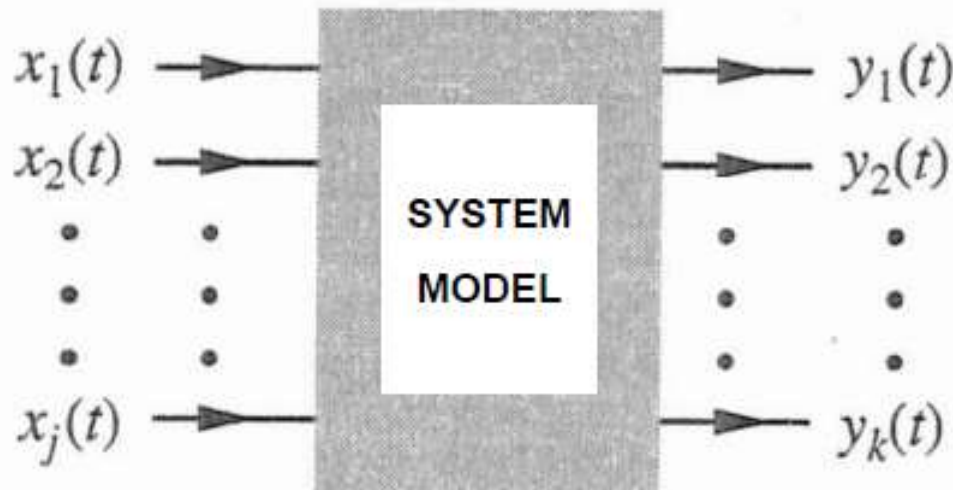
Block diagram representation of operator  $H$  for (a) continuous time and (b) discrete time.

Fig. 1-49 (a) and (b).



# What are Systems?

- ◆ Systems are used to **process signals** to **modify** or **extract information**
- ◆ Physical system – characterized by their **input-output relationships**
- ◆ E.g. electrical systems are characterized by voltage-current relationships for components and the **laws of interconnections** (i.e. Kirchhoff's laws)
- ◆ From this, we derive a **mathematical model** of the system
- ◆ “**Black box**” model of a system:



# Linear Systems (1)

- ◆ A **linear system** exhibits the **additivity** property:

$$x_1 \longrightarrow y_1 \quad x_2 \longrightarrow y_2$$

$$x_1 + x_2 \longrightarrow y_1 + y_2$$

- ◆ It also must satisfy the **homogeneity** or **scaling** property:

$$x \longrightarrow y$$

$$kx \longrightarrow ky$$

- ◆ These can be combined into the property of **superposition**:

$$x_1 \longrightarrow y_1 \quad x_2 \longrightarrow y_2$$

$$k_1x_1 + k_2x_2 \longrightarrow k_1y_1 + k_2y_2$$

- ◆ A non-linear system is one that is NOT linear (i.e. does not obey the principle of superposition)

# Introduction

## 1.10 Theme Example

### ★ 1.10.1 Differentiation and Integration: RC Circuits

#### 1. Differentiator $\Rightarrow$ Sharpening of a pulse

$$y(t) = \frac{d}{dt} x(t) \quad (1.99)$$



1) Simple RC circuit: Fig. 1.62.

2) Input-output relation:



$$\Rightarrow \frac{d}{dt} v_2(t) + \frac{1}{RC} v_2(t) = \frac{d}{dt} v_1(t) \quad (1.100)$$

If  $RC$  (time constant) is small enough such that (1.100) is dominated by the second term  $v_2(t)/RC$ , then

$$\frac{1}{RC} v_2(t) \approx \frac{d}{dt} v_1(t) \quad \Rightarrow \quad v_2(t) \approx RC \frac{d}{dt} v_1(t) \quad \text{for } RC \text{ small} \quad (1.101)$$

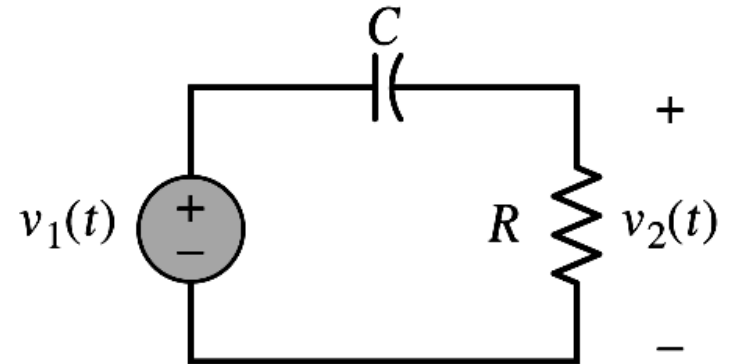


Figure 1.62 (p. 71)

Simple RC circuit with small time constant, used as an approximator to a differentiator.

# Introduction

⇒ Input:  $x(t) = RCv_1(t)$ ; output:  $y(t) = v_2(t)$

## 2. Integrator ⇒ smoothing of an input signal

$$y(t) = \int_{-\infty}^t x(\tau) d\tau \quad (1.102)$$

1) Simple RC circuit: Fig. 1.63.

2) Input-output relation:

$$RC \frac{d}{dt} v_2(t) + v_2(t) = v_1(t)$$

⇒  $RCv_2(t) + \int_{-\infty}^t v_2(\tau) d\tau = \int_{-\infty}^t v_1(\tau) d\tau \quad (1.103)$

If  $RC$  (time constant) is large enough such that (1.103) is dominated by the first term  $RCv_2(t)$ , then

$$RCv_2(t) \approx \int_{-\infty}^t v_1(\tau) d\tau$$

⇒  $v_2(t) \approx \frac{1}{RC} \int_{-\infty}^t v_1(\tau) d\tau$  for large  $RC$

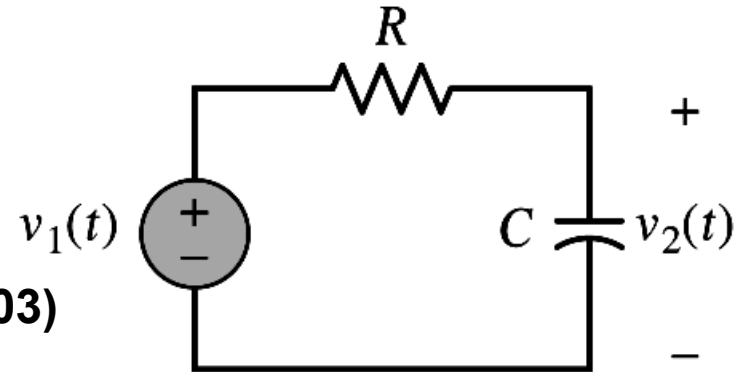


Figure 1.63 (p. 72)  
Simple RC circuit with large time constant used as an approximator to an integrator.

⇒ Input:  $x(t) = [1/(RC)v_1(t)]$ ;  
output:  $y(t) = v_2(t)$

# Introduction

## 1.9 Noise

**Noise**  $\Rightarrow$  **Unwanted signals**

1. **External sources of noise**: atmospheric noise, galactic noise, and human-made noise.
2. **Internal sources of noise**: spontaneous fluctuations of the current or voltage signal in electrical circuit. (**electrical noise**)

 **Fig. 1.60.**

### ★ 1.9.1 Thermal Noise

Thermal noise arises from the random motion of electrons in a conductor.

Two characteristics of thermal noise:

1. Time-averaged value:

$$\bar{v} = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T v(t) dt \quad (1.94)$$

$2T =$  total observation interval of noise


As  $T \rightarrow \infty$ ,  $\bar{v} \rightarrow 0$  Refer to Fig. 1.60.

2. Time-average-squared value:

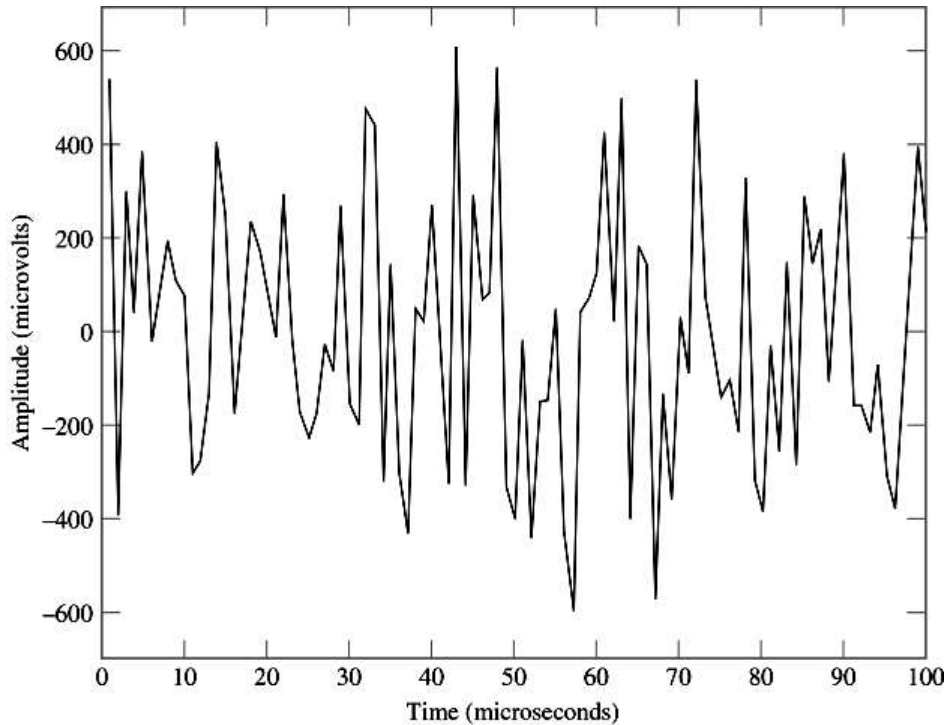
$$\overline{v^2} = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T v^2(t) dt \quad (1.95)$$

$k =$  Boltzmann's constant =  
 $1.38 \times 10^{-23}$  J/K

$T_{\text{abs}} =$  absolute temperature

As  $T \rightarrow \infty$ ,   $\overline{v^2} = 4kT_{\text{abs}}R\Delta f$  volts<sup>2</sup> (1.96)

# Introduction



**Figure 1.60**  
(p. 68)

Sample waveform of electrical noise generated by a thermionic diode with a heated cathode. Note that the time-averaged value of the noise voltage displayed is approximately zero.

♣ **Two operating factor that affect available noise power:**

- 1. The temperature at which the resistor is maintained.**
- 2. The width of the frequency band over which the noise voltage across the resistor is measured.**

## ★ **1.9.2 Other Sources of Electrical Noise**

- 1. Shot noise: the discrete nature of current flow electronic devices**
- 2. Ex. Photodetector:**